



# TRANSMITTAL FORM

Use for all correspondence after initial filing)

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|---|--|------------------------|--|
| <b>TRANSMITTAL FORM</b><br>Use for all correspondence after initial filing) |  | Application Number     | 09/881,823                             |
|   |  | Filing Date            | June 15, 2001                          |
|   |  | First Named Inventor   | Wenyuan SHI                            |
|   |  | Art Unit               | 1645                                   |
|   |  | Examiner Name          | Zerman, Robert A.                      |
| Total Number of Pages in This Submission                                    |  | Attorney Docket Number | 061818-5511 US<br>(Formerly 22851-032) |

## ENCLOSURES (Check all that apply)

|  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request (See Remarks)<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input checked="" type="checkbox"/> Change of Address<br><input checked="" type="checkbox"/> Revocation and Power of Attorney (with Schedule A)<br><input checked="" type="checkbox"/> Statement Under 37 CFR § 3.73(b)<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>• Return postcard |
| Remarks  |   | The Commissioner is authorized to charge any additional fees to Deposit Account 50-0310.   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                    |                                       |                 |
|--------------------|---------------------------------------|-----------------|
| Firm or Individual | Morgan, Lewis & Bockius LLP<br>Nan Wu | Reg. No. 43,360 |
| Signature          |                                       |                 |
| Date               | July 29, 2005                         |                 |

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

|                       |                |      |               |
|-----------------------|----------------|------|---------------|
| Typed or printed name | Judi Stillwell |      |               |
| Signature             |                | Date | July 29, 2005 |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: See Attached Schedule A  
Serial No.: See Attached Schedule A  
Filed: See Attached Schedule A  
For: See Attached Schedule A Attorney Docket No: See Attached Schedule A

REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

The Regents of the University of California, owner of the entire right, title and interest in, to and under the inventions listed in the attached Schedule hereby revokes all previous powers of attorney and appoints Morgan, Lewis & Bockius LLP, Customer No. 43850, and each of them, its attorneys, to prosecute these matters, and to transact all business in the Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Morgan, Lewis & Bockius LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

Please direct all future correspondence to the address associated with Customer No. 43850, Morgan, Lewis & Bockius LLP and direct all telephone calls to Morgan, Lewis & Bockius LLP at (650) 843-4000.

Date: 4/13/05  
Assignee: The Regents of the University of California  
Signature: [Signature]  
Typed Name: Lorelei de Larena  
Position/Title: Intellectual Property Manager  
Address: 10920 Wilshire Blvd. Suite 1200  
Los Angeles, CA 90024-1406



## Schedule A- UCLA

| MLB File No.<br>UC R # in<br>brackets   | App. No. / Patent No. | Inventor(s)                           | Title   | Date Filed<br>Date Issued |
|---|-----------------------|---------------------------------------|---|---------------------------|
| 061818-5510<br>US<br>(1999-543-1)   | 09/378,577            | Shi and Anderson                      | Method for the Treatment and Prevention of Dental Caries  | 08/20/99                  |
| 061818-5511<br>US<br>(1999-543-2)   | 09/881,823            | Shi, Anderson, et al.                 | DNA Molecules and Recombinant DNA Molecules for Producing Humanized Monoclonal Antibodies to <i>S. Mutans</i> | 06/15/01                  |
| 061818-5512<br>US<br>(1999-543-3)   | 09/910,358            | Shi, Anderson, et al.                 | Fusion Proteins for Targeted Delivery of Antimicrobial Peptides   | 07/19/01                  |
| 061818-5512<br>US01<br>(1999-543-4)   | 10/077,624            | Shi, Anderson, et al.                 | Anti-Microbial Targeting Chimeric Pharmaceutical  | 02/14/02                  |
| 061818-5512<br>US02<br>(2003-509-1 according to GC records)<br>(1999-543-5 according to your records) | 10/706,391            | Randal Eckert, Qi, Shi and Anderson   | Anti-Microbial Targeting Chimeric Pharmaceutical  | 11/12/03                  |
| 061818-5509<br>US<br>(2002-409-1)   | 10/156,378            | Shi, Anderson, et al.                 | Herbs & Herbal Combinations Useful for the Treatment of Microbial Infections                                  | 05/28/02                  |
| 061818-5515<br>US<br>(2004-522-1)   | 10/795,554            | Shi, Anderson, et al.                 | Compositions Useful for the Treatment of Microbial Infection  | 03/04/04                  |
| 061818-5516<br>US<br>(2002-483-1)   | 10/164,446            | Justin Merritt, Shi, Anderson, et al. | Quorum Sensing and Biofilm Formation  | 06/06/02                  |



In re Application of:  
Wenyuan SHI, et al.

Application Serial No.: 09/881,823

Filing Date: June 15, 2001

Examiner: Zerman, Robert A.

Art Unit: 1645

Confirmation No. 8957

For: DNA MOLECULES AND RECOMBINANT  
DNA MOLECULES FOR PRODUCING  
HUMANIZED MONOCLONAL  
ANTIBODIES TO *S. MUTANS*

Attorney Docket No.:  
**061818-5511 US**  
**(Formerly 22851-032)**

### COMMUNICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

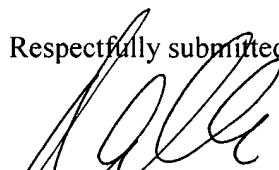
Sir:

Applicants enclose herewith a Revocation and Power of Attorney by Assignee executed on April 13, 2005 by Lorelei de Larena on behalf of The Regents of The University of California and a Statement under 37 C.F.R 3.73(b) executed by Nan Wu (Reg. No. 43,360) on behalf of the assignee, as appointed representative under the Power of Attorney.

Applicants believe that no fee is required with the submission of the enclosed document. However, if it is found that a fee is necessary, please charge the required fee to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310. A copy of this sheet is enclosed for accounting purposes.

Date: July 25, 2005

Respectfully submitted,

  
Nan Wu

43,360

(Reg. No.)

MORGAN LEWIS & BOCKIUS LLP  
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3000 El Camino Real  
Suite 700  
Palo Alto, CA 94306-2212  
(415) 442-1340

In re Application of:  
Wenyuan SHI, et al.

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Attorney Docket No.:  
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(Formerly 22851-032)



**STATEMENT UNDER 37 C.F.R. § 3.73(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a corporation (Type of Assignee, e.g.,  
corporation, partnership, university government agency), states that it is:

- ☒ the assignee of the entire right, title, and interest; or  
☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of an assignment from the inventor(s) of  
the patent application/patent identified above.

- ☒ The assignment was recorded in the United States Patent and Trademark Office on  
June 15, 2001 at Reel 011917, Frame 0245, or for which a copy thereof is attached.

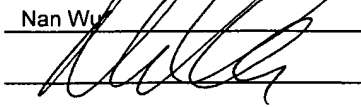
OR

- ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to  
the current assignee as shown below:

1. From: To:  
The document was recorded in the United States Patent and Trademark Office on  
at Reel , Frame , or for which a copy thereof is attached.

2. From: To:  
The document was recorded in the United States Patent and Trademark Office on  
at Reel , Frame , or for which a copy thereof is attached.

The undersigned whose signature and title is supplied below is authorized to act on behalf of the assignee.

|           |   |           |                |
|-----------|---|-----------|----------------|
| Name      | Nan Wu  |           |                |
| Signature |  | Date      | July 2, 2005   |
| Title     | Attorney- Reg. No. 43,360   | Telephone | (415) 442-1340 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CHANGE OF ADDRESS

Commissioner for Patents  
P.O. Box 1450  
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Sir:

Please address all future correspondence in this case to:

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